



GAU 16L11 #5
Box Sequence
10/22/98

PATENT/DX0686

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GORMAN & MATTSON
Serial No.: 08/989,362
Filed: 12-DEC-1997

Examiner: M. Tung
Art Unit: 1644

Titled: MAMMALIAN CELL SURFACE
ANTIGENS; RELATED
REAGENTS

SEQUENCE SUBMISSION

September 30, 1998

5

COMPLIANCE WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES

10 Assistant Commissioner for Patents
Box Sequence
Washington, D.C. 20231

Sir:

15 In response to receipt of a "Notice to Comply with Requirements for
Patent Applications containing Nucleotide and/or Amino Acid Sequences,"
dated 01-SEP-1998 for the above-identified application, Applicants submit
herewith: (1) an initial paper and computer readable copy of the Sequence
Listing as required under 37 CFR §1.821(c) and (e), and (2) a statement under 37
20 CFR §1.821(f).

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I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below addressed to: ASSISTANT COMMISSIONER FOR PATENTS, BOX SEQUENCE, WASHINGTON, D.C. 20231.

Date of Deposit October 1 1998

By: Lois E. Miller
Lois E. Miller

REMARKS

Enclosed is a write protected floppy diskette containing the "Sequence Listing" generated by the Patent Office's "PATENTIN" program. The diskette complies with the requirements of 37 CFR §1.824 and is IBM PC compatible with
5 a PC-DOS/MS-DOS operating system. If the diskette has been damaged, please contact Applicant's counsel and a replacement diskette will be provided. A paper readable copy of the Sequence Listing on the diskette is attached hereto.

I hereby state that the informational content of the paper and computer readable copies of the sequence listing, submitted in accordance with 37 CFR
10 §1.821(c) and (e), respectively, are believed to be the same. Thus, this submission should introduce no new matter, since the enclosed sequences should be the same as sequences submitted in priority documents.

The enclosed items are a bona fide effort to bring the present application into full compliance with the rules for sequence submissions. Applicants have
15 invested over two hours of significant labor and care in preparing the present submission. Should there be any question regarding the sufficiency of this submission to comply with the rules for sequence submissions, Applicant respectfully requests notification of specific deficiencies and an opportunity for remedy, under 37 CFR §1.135(c).

20 Applicants believe that no fees are due. If, however, any fees are required by the present response, the Commissioner is authorized to charge any fees or credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

Respectfully submitted,

25

Dated: September 30, 1998

Edwin P. Ching
Edwin P. Ching
Reg. No. 34,090

30 Enclosures and attachments:

one write-protected diskette (CRM)
paper copy of contents of diskette

DNAX Research Institute
35 901 California Avenue
Palo Alto, California 94304-1104
Tel: 650-852-9196
Fax: 650-496-1200

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